

## MIFIDPRU 7 Annex 6R

### Notification under MIFIDPRU 7.8.4R of a revised ICARA assessment questionnaire (data item MIF007) submission date

Details of Senior Manager responsible for this notification:

*If the notification is being made in respect of a MIFIDPRU investment firm or another SMCR firm, we would expect the individual responsible for it to hold a senior management function (SMF).*

Name of individual	
Job title / position	
Individual reference number (if applicable)	

1. Please confirm the basis on which you undertake the ICARA:
  - Individual basis
  - Group
  - Consolidated basis (*This option will apply only where the FCA has imposed a requirement on a UK parent undertaking to operate an ICARA process on a consolidated basis*)
2. If you undertake the ICARA on group or consolidated basis, please list all group entities:

FRN	Entity name

3. Please confirm the current and revised submission date for data item MIF007.

Current MIF007 submission date	Revised MIF007 submission date
<i>DD/MM/YYYY</i>	<i>DD/MM/YYYY</i>